Ability of SmartStax RIB and Double Pro to protect adjacent strip refuges from corn earworm and fall armyworm, and a look at pollen movement from Bt blocks to adjacent strip refuges

Pat Porter, Ed Bynum and Monti Vandiver, 18 December 2013

Contents:

| Summary | 1 |
|--|----|
| PART I. Corn Earworm | ∠ |
| PART II. Fall Armyworm | 12 |
| PART III. Assay of qualitative toxin expression in kernels | 20 |

Summary

The purpose of this research was to determine whether pollen from adjacent Bt corn can protect corn ears in adjacent non-Bt rows. If so, then strip refuges become an option that allows growers to comply with refuge requirements while experiencing less loss from insects than would happen in a large block refuge. 12-row blocks of SmartStax 5% Refuge in a bag and Double Pro Bt corn were able to protect adjacent strip refuge rows from corn earworm (CEW) and fall armyworm (FAW) larvae to different degrees. Double Pro offered adjacent refuge rows no protection from either corn earworm or fall armyworm. SmartStax offered adjacent refuge rows significant protection from fall armyworm but not corn earworm.

- 1. Corn earworm: Neither type of Bt corn offered significant protection from corn earworm larvae in adjacent refuge rows 1, 4 or 12 (CEW Analysis 6). There was not a significant difference in the number of larvae recovered in refuge rows 1, 4, and 12 (CEW Analysis 5). Taken together, this suggests that neither type of Bt corn is able to protect adjacent strip refuge rows from CEW. However, within the solid blocks of Bt corn, both types of corn had fewer corn earworm larvae in ears than in the refuge rows, and this indicates that both technologies provide significant insect control in block plantings. The SmartStax Bt block had fewer total larvae than the Double Pro Bt block at the 0.07 level of probability (CEW Analysis 2), which is expected since SmartStax contains the toxins in Double Pro and additionally has the Cry1F toxin and should therefore be more effective than Double Pro. Larvae recovered from within the solid plantings were significantly smaller than those recovered from refuge rows (CEW Analyses 3 and 4).
- 2. Fall armyworm: Refuge rows adjacent to SmartStax had significantly fewer FAW larvae than those more distant from the block planting and those adjacent to Double Pro (FAW Analysis 1), which indicates that there is potential for strip refuges adjacent to SmartStax to receive significant protection. At dough stage the solid SmartStax block planting and refuge row 1 (closest to the SmartStax block) had the lowest numbers of FAW larvae, and the numbers of

larvae in refuge rows increased with distance from the solid planted block (FAW Analysis 2A). This trend increased in intensity by early dent stage such that there was a near linear increase in FAW numbers in refuge rows 1, 2, 4 and 12 with distance from the solid Bt block (FAW Analysis 2B). These data suggest that refuge rows 1, 2, and perhaps 4 can be protected by an adjacent SmartStax block and that 4-8 row strip refuges might be adequately protected in production fields when surrounded on both sides by SmartStax corn.

Unlike SmartStax, pollen from Double Pro corn offered refuge rows no significant protection from FAW (FAW Analysis 3).

Bt pollen expression in adjacent refuge row non-Bt ears: The data clearly showed that ears in refuge rows closest to the SmartStax block had higher SmartStax toxin expression than ears from more distant refuge rows (Pollen Table 1). While not directly measured kernel by kernel, one can assume (and gene-check quick strip coloration suggests) that ears from refuge rows nearer the SmartStax block had a higher percentage of kernels expressing all of the toxins in SmartStax than ears farther from the refuge block. There was some segregation of SmartStax toxins such that not all of them were present in the top 1/3 of some of the refuge ears tested (Pollen Table 2). Similar trends for decreasing toxin expression with distance from the solid Bt planting and increasing segregation with distance were found for Double Pro (Pollen Table 3).

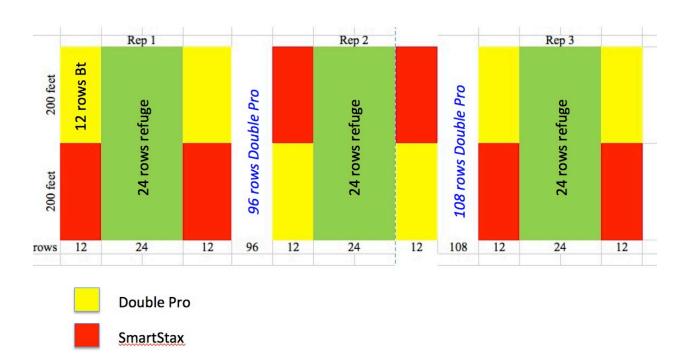
Toxin assays of individual kernels from SmartStax refuge row 2 revealed that 31.6% of them were positive for at least one toxin active against caterpillars (Pollen Table 4A). Of the 120 individual kernels tested, 5.8% were positive for Cry1F only, 10.8% were positive for Cry2Ab2, and 9.2% were positive for both toxins. Toxins were not detected in the remaining 74.2% of kernels in refuge row 2 (Pollen Table 5).

The presence of toxic kernels in adjacent refuge row non-Bt ears can partially explain the reduced number of FAW larvae in refuge rows closest to the solid Bt block plantings. It is also probable that the presence of the toxic pollen itself helped to kill some of the small caterpillars on refuge row ears. In summary, the results presented here indicate that 4-8 row strip refuges in SmartStax corn will very likely receive protection from fall armyworm larvae.

Methods

Plot arrangement: In 2013 near Muleshoe, Texas, 200-foot long strips of 12 rows of SmartStax RIB or Double Pro corn were planted in a center pivot irrigated field on 30-inch rows oriented north to south. The prevailing winds are usually from the west or southwest. Green refuge seed was observed in the bag of SSTX RIB.

Experimental design of the strip refuge protection trial



The Bt block plantings were 12 rows wide x 200 feet long. There were 24 rows of non-Bt corn planted between twin blocks of Bt corn. The SmartStax corn was DKC 61-16 RIB (5%). The Double Pro was DKC 63-55 DGVT2P. The refuge corn was DKC 62-95 RR2.

Experimental plots were at the edge of a commercial corn field planted on 30-inch rows and irrigated by center pivot. Insecticides were not applied to this field.

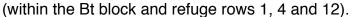
Insects were sampled at dough stage (19 - 20 August) and at early dent stage (6 September).

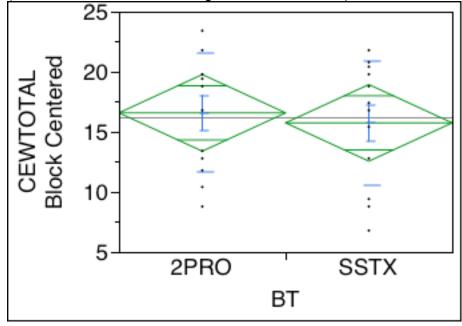
PART I. Corn Earworm

Ten consecutive ears per refuge row (or in row 6 of the 12-row pure Bt block) were harvested on 19 August at dough stage, removed to the laboratory and dissected. Larvae were scored as small ($<\frac{1}{4}$ inch), medium ($\frac{1}{4}$ to $\frac{3}{4}$ inch) or large (> $\frac{3}{4}$ inch). There were three replications.

CEW Analysis 1. Mean total number of CEW larvae recovered per 10 consecutive ears in all sampled rows by type of Bt corn. Data include recovery from the Bt block planting and refuge rows 1, 4 and 12. Finding: there were no significant differences in the number of larvae recovered from SmartStax or Double Pro.

Mean total CEW recovered per 10 ears by Bt type at dough stage, all rows included





Analysis of Variance

| Source | DF | Sum of Squares | Mean Square | F Ratio | Prob > F |
|---------|----|----------------|-------------|---------|----------|
| BT | 1 | 4.16667 | 4.1667 | 0.1485 | 0.7040 |
| REP | 2 | 170.08333 | 85.0417 | 3.0313 | 0.0708 |
| Error | 20 | 561.08333 | 28.0542 | | |
| C Total | 23 | 735 33333 | | | |

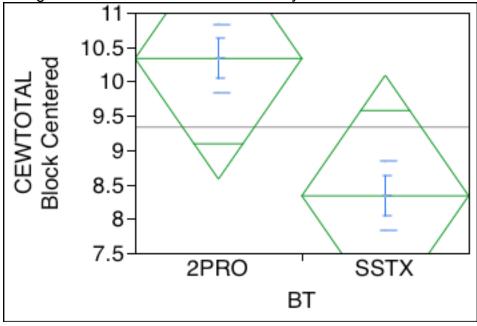
Means and Std Deviations

| Level | Number | Mean | Std Dev | Std Err Mean | Lower 95% | Upper 95% |
|-------|--------|---------|---------|--------------|-----------|-----------|
| 2PRO | 12 | 16.5833 | 4.95701 | 1.4310 | 13.434 | 19.733 |
| SSTX | 12 | 15.7500 | 5.14156 | 1.4842 | 12.483 | 19.017 |

CEW Analysis 2. Mean total number of CEW larvae recovered per 10 consecutive ears from row 6 in the Bt block planting (only). Refuge rows are not included in this analysis. Finding: At the 0.074 level of probability, SmarStax had fewer CEW larvae in the Bt block than were recovered from Double Pro.

Mean total number of CEW larvae recovered per ten ears in the Bt block planting (only).





Analysis of Variance

| Source | DF | Sum of Squares | Mean Square | F Ratio | Prob > F |
|----------|----|----------------|-------------|---------|----------|
| BT | 1 | 6.000000 | 6.0000 | 12.0000 | 0.0742 |
| REP | 2 | 52.333333 | 26.1667 | 52.3333 | 0.0187* |
| Error | 2 | 1.000000 | 0.5000 | | |
| C. Total | 5 | 59.333333 | | | |

Replication Means

| REP | Mean | Number |
|-----|---------|--------|
| 1 | 13.5000 | 2 |
| 2 | 7.5000 | 2 |
| 3 | 7.0000 | 2 |

Means and Std Deviations

| Level | Number | Mean | Std Dev | Std Err Mean | Lower 95% | Upper 95% |
|-------|--------|---------|----------|--------------|-----------|-----------|
| 2PRO | 3 | 10.3333 | 0.500000 | 0.28868 | 9.0913 | 11.575 |
| SSTX | 3 | 8.3333 | 0.500000 | 0.28868 | 7.0913 | 9.575 |

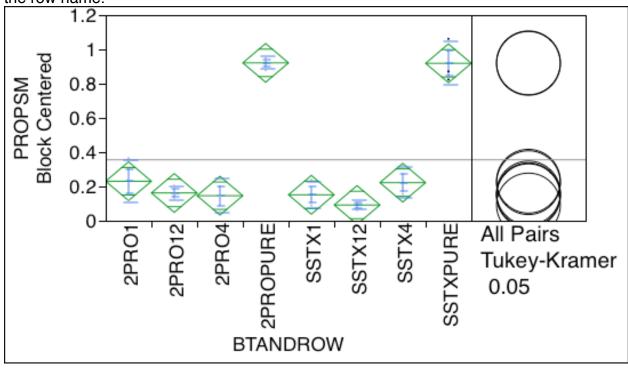
Mean separation by t-Test SmarStax vs. Double Pro Assuming unequal variances

| Difference | -2.0000 | t Ratio | -4.89898 |
|--------------|---------|------------|----------|
| Std Err Dif | 0.4082 | DF | 4 |
| Upper CL Dif | -0.8665 | Prob > Itl | 0.0080* |
| Lower CL Dif | -3.1335 | Prob > t | 0.9960 |
| Confidence | 0.95 | Prob < t | 0.0040* |

Continues on next page.

CEW Analysis 3. Proportion of small CEW larvae recovered by Bt type and refuge row. The data include sampling in the pure Bt block. Finding: A significantly higher proportion of larvae recovered from the pure Bt blocks were small. This indicates slower development in the pure Bt blocks than in any of the refuge rows for either Bt type.

Mean PROPORTION of CEW larvae that were small (< ¼ inch) by Bt type and refuge row. This analysis includes rows in the solid Bt block and refuge rows 1, 4 and 12. "2PROPURE" is Double Pro pure Bt block and SSTXPURE is the pure stand of SmartStax 5% refuge in a bag. Refuge row location is indicated as the last two digits in the row name.



| Prob > F |
|----------|
| <.0001* |
| 0.0757 |
| |
| |
| 9 |

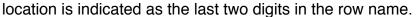
| Means and St | td Deviatioı | ns | | | | |
|--------------|--------------|----------|----------|--------------|-----------|--------|
| Level | Number | Mean | Std Dev | Std Err Mean | Lower 95% | Upper |
| | | | | | | 95% |
| 2PRO1 | 3 | 0.230590 | 0.123692 | 0.07141 | -0.0767 | 0.5379 |
| 2PRO12 | 3 | 0.161998 | 0.040196 | 0.02321 | 0.0621 | 0.2619 |
| 2PRO4 | 3 | 0.146151 | 0.097739 | 0.05643 | -0.0966 | 0.3889 |
| 2PROPURE | 3 | 0.921296 | 0.036902 | 0.02131 | 0.8296 | 1.0130 |
| SSTX1 | 3 | 0.151389 | 0.078558 | 0.04536 | -0.0438 | 0.3465 |
| SSTX12 | 3 | 0.091847 | 0.026499 | 0.01530 | 0.0260 | 0.1577 |
| SSTX4 | 3 | 0.222222 | 0.089155 | 0.05147 | 0.00075 | 0.4437 |
| SSTXPURE | 3 | 0.918803 | 0.125664 | 0.07255 | 0.6066 | 1.2310 |

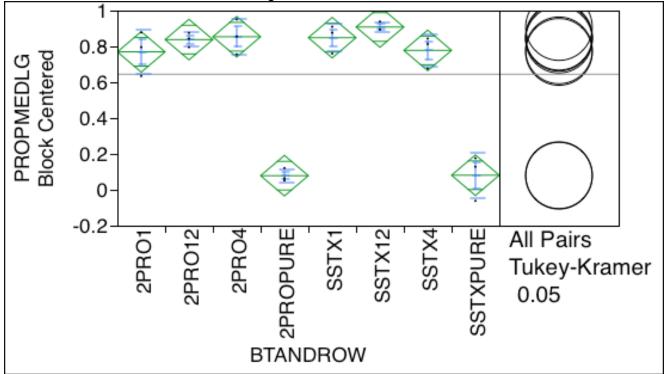
Mean separations (Tukey's HSD) 0.05 level of probability Proportion of CEW larvae that were small by Bt type and row.

| Level | | Mean |
|----------|---|------------|
| 2PROPURE | Α | 0.92129630 |
| SSTXPURE | Α | 0.91880342 |
| 2PRO1 | В | 0.23059006 |
| SSTX4 | В | 0.2222222 |
| 2PRO12 | В | 0.16199813 |
| SSTX1 | В | 0.15138889 |
| 2PRO4 | В | 0.14615105 |
| SSTX12 | В | 0.09184727 |

CEW Analysis 4. Mean proportion of medium and large CEW larvae recovered by Bt type and refuge row. The data include sampling in the pure Bt block. Finding: A significantly higher proportion of larvae recovered from the refuge rows were medium and large. THIS IS BASICALLY THE INVERSE OF ANALYSIS 3.

Mean PROPORTION of medium and large CEW by row. This analysis includes rows in the solid Bt block and refuge rows 1, 4 and 12. "2PROPURE" is Double Pro pure Bt block and SSTXPURE is the pure stand of SmartStax 5% refuge in a bag. Refuge row





| | | | | t . |
|------|--------|----------|------|------|
| Δna | IV/CIC | $\cap t$ | /arı | ance |
| niia | เขอเอ | UI I | v an | ance |

| Source | DF | Sum of Squares | Mean Square | F Ratio | Prob > F |
|---------|----|------------------------|-------------|---------|----------|
| | 7 | : | 0.369962 | 44.3118 | |
| REP | 2 | 0.0521030 | 0.026052 | 3.1203 | |
| Error | _ | 0.1168871 | 0.008349 | 0.1200 | 0.0737 |
| C Total | | 0.1100071 2.7587273 | 0.000349 | | |

Means and Standard Deviations

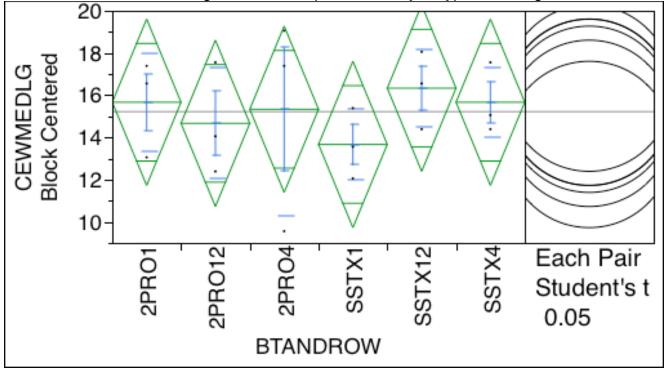
| Level | Number | Mean | Std Dev | Std Err Mean | Lower 95% | Upper 95% |
|----------|--------|----------|----------|--------------|-----------|--------------|
| 2PRO1 | 3 | 0.769410 | 0.123692 | 0.07141 | 0.4621 | 1.0767 |
| 2PRO12 | 3 | 0.838002 | 0.040196 | 0.02321 | 0.7381 | 0.9379 |
| 2PRO4 | 3 | 0.853849 | 0.097739 | 0.05643 | 0.6111 | 1.0966 |
| 2PROPURE | 3 | 0.078704 | 0.036902 | 0.02131 | -0.0130 | 0.1704 |
| SSTX1 | 3 | 0.848611 | 0.078558 | 0.04536 | 0.6535 | 1.0438 |
| SSTX12 | 3 | 0.908153 | 0.026499 | 0.01530 | 0.8423 | 0.9740 |
| SSTX4 | 3 | 0.777778 | 0.089155 | 0.05147 | 0.5563 | 0.9993 |
| SSTXPURE | 3 | 0.081197 | 0.125664 | 0.07255 | -0.2310 | 0.3934 |

Mean separation: Tukey's HSD 0.05 level of probability

| Level | | Mean |
|----------|---|------------|
| SSTX12 | Α | 0.90815273 |
| 2PRO4 | Α | 0.85384895 |
| SSTX1 | Α | 0.84861111 |
| 2PRO12 | Α | 0.83800187 |
| SSTX4 | Α | 0.7777778 |
| 2PRO1 | Α | 0.76940994 |
| SSTXPURE | В | 0.08119658 |
| 2PROPURE | В | 0.07870370 |

CEW Analysis 5. Mean number of medium and large CEW larvae per ten ears by Bt type and refuge row. <u>This analysis excludes data from within the solid Bt block</u>. No significant differences were found.

Mean no. of medium and large CEW larvae per 10 ears by Bt type and refuge row.



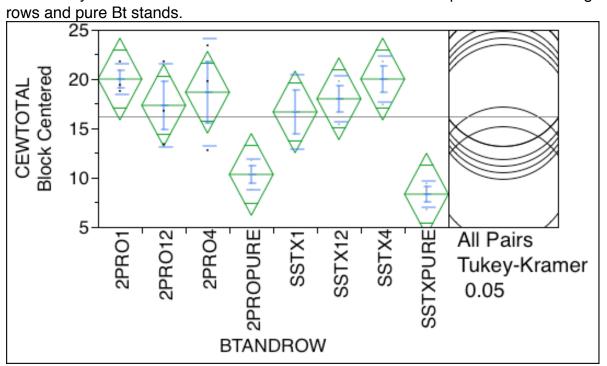
Analysis of Variance

| Source | DF | Sum of Squares | Mean Square | F Ratio | Prob > F |
|----------|----|----------------|-------------|---------|----------|
| BTANDROW | 5 | 13.11111 | 2.6222 | 0.2793 | 0.9141 |
| REP | 2 | 84.11111 | 42.0556 | 4.4793 | 0.0408* |
| Error | 10 | 93.88889 | 9.3889 | | |
| C Total | 17 | 101 11111 | | | |

Means and Std Deviations

| Level | Number | Mean | Std Dev | Std Err Mean | Lower 95% | Upper 95% |
|--------|--------|---------|---------|--------------|-----------|-----------|
| 2PRO1 | 3 | 15.6667 | 2.29936 | 1.3275 | 9.955 | 21.379 |
| 2PRO12 | 3 | 14.6667 | 2.63699 | 1.5225 | 8.116 | 21.217 |
| 2PRO4 | 3 | 15.3333 | 5.07262 | 2.9287 | 2.732 | 27.934 |
| SSTX1 | 3 | 13.6667 | 1.66944 | 0.9639 | 9.520 | 17.814 |
| SSTX12 | 3 | 16.3333 | 1.84341 | 1.0643 | 11.754 | 20.913 |
| SSTX4 | 3 | 15.6667 | 1.66944 | 0.9639 | 11.520 | 19.814 |

CEW Analysis 6. Mean total number of CEW larvae recovered per ten ears in refuge



Analysis of Variance

| Source | DF | Sum of Squares | Mean Square | F Ratio | Prob > F |
|----------|----|----------------|-------------|---------|----------|
| | | | • | | |
| BTANDROW | / | 408.00000 | 58.2857 | 5.1892 | 0.0044* |
| REP | 2 | 170.08333 | 85.0417 | 7.5713 | 0.0059* |
| Error | 14 | 157.25000 | 11.2321 | | |
| C Total | 23 | 735 33333 | | | |

Replication Means

| REP | Mean | Number |
|-----|---------|--------|
| 1 | 19.7500 | 8 |
| 2 | 13.3750 | 8 |
| 3 | 15.3750 | 8 |

Means and Std Deviations

| Level | Number | Mean | Std Dev | Std Err Mean | Lower 95% | Upper 95% |
|----------|--------|---------|---------|--------------|-----------|-----------|
| 2PRO1 | 3 | 20.0000 | 1.58278 | 0.9138 | 16.068 | 23.932 |
| 2PRO12 | 3 | 17.3333 | 4.21369 | 2.4328 | 6.866 | 27.801 |
| 2PRO4 | 3 | 18.6667 | 5.40110 | 3.1183 | 5.250 | 32.084 |
| 2PROPURE | 3 | 10.3333 | 1.50174 | 0.8670 | 6.603 | 14.064 |
| SSTX1 | 3 | 16.6667 | 3.81404 | 2.2020 | 7.192 | 26.141 |
| SSTX12 | 3 | 18.0000 | 2.29242 | 1.3235 | 12.305 | 23.695 |
| SSTX4 | 3 | 20.0000 | 2.29242 | 1.3235 | 14.305 | 25.695 |
| SSTXPURE | 3 | 8.3333 | 1.37121 | 0.7917 | 4.927 | 11.740 |

Mean separations, Tukey's HSD 0.05 level of probability

| Level | | | | Mean |
|----------|---|---|---|-----------|
| 2PRO1 | Α | | | 20.000000 |
| SSTX4 | Α | | | 20.000000 |
| 2PRO4 | Α | В | | 18.666667 |
| SSTX12 | Α | В | | 18.000000 |
| 2PRO12 | Α | В | С | 17.333333 |
| SSTX1 | Α | В | С | 16.666667 |
| 2PROPURE | | В | С | 10.333333 |
| SSTXPURE | | | С | 8.333333 |

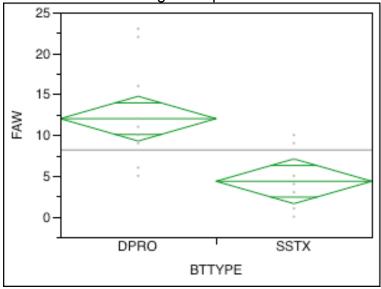
Because we did not find any ability for either type of Bt corn to protect against corn earworm in strip refuges, we halted further data collection for corn earworm and concentrated our later efforts on fall armyworm.

PART II. Fall Armyworm

FAW Analysis 1 compares the number of FAW larvae recovered on 19 - 20 August (dough stage) from the refuge adjacent to SmartStax and DoublePro solid planting (12 rows of Bt corn). 35 adjacent ears were harvested per refuge row. Data are for combined refuge rows 1, 2, 4, and 12 (hence 140 ears per Bt type x 3 replications = 420 ears per Bt type.) Data from inside the solid Bt block plantings are not included in this analysis. Findings: SSTX had significantly fewer insects in the refuge than did DoublePro. This may result from the presence of Cry1F in SSTX.

FAW larvae per 35 ears in REFUGE ROWS of SmartStax and DoublePro on 8/20/13.

Continuous block refuge was planted.



Analysis of Variance

| 7 11 1 day 5 1 5 6 1 1 da | | | | | |
|---------------------------|----|-----------|-------------|---------|----------|
| Source | DF | Sum of | Mean Square | F Ratio | Prob > F |
| | | Squares | | | |
| BTTYPE | 1 | 352.66667 | 352.667 | 16.8423 | 0.0005* |
| Error | 22 | 460.66667 | 20.939 | | |
| C Total | 23 | 813 33333 | | | |

Means for Oneway Anova

| Level | Number | Mean | Std Error | Lower 95% | Upper 95% |
|-------|--------|---------|-----------|-----------|-----------|
| DPRO | 12 | 12.0000 | 1.3210 | 9.2605 | 14.740 |
| SSTX | 12 | 4.3333 | 1.3210 | 1.5938 | 7.073 |

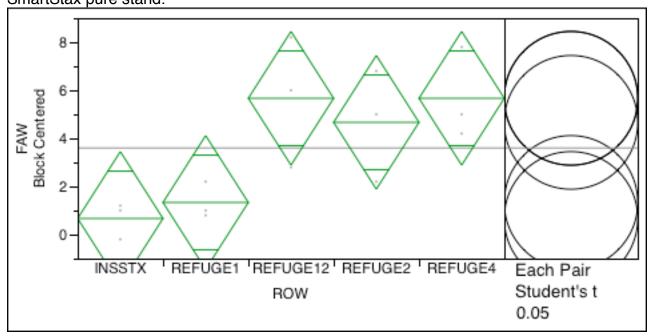
t Test SSTX-DPRO

Assuming equal variances

| Difference | -7.667 t Ratio | -4.10393 |
|--------------|-------------------|----------|
| Std Err Dif | 1.868 DF | 22 |
| Upper CL Dif | -3.792 Prob > Itl | 0.0005* |
| Lower CL Dif | -11.541 Prob > t | 0.9998 |
| Confidence | 0.95 Prob < t | 0.0002* |

FAW Analysis 2A: Number of FAW larvae recovered on 19-20 August (dough stage) in the solid SSTX Bt block planting and adjacent refuge rows 1, 2, 4 and 12. Each mean is composed of 35 ears per row x 3 replications += 105 ears. Finding: this followed an expected trend for fewer larvae in rows closest to the solid Bt planting. It appears that SSTX contributed to lower FAW numbers by wide protection (see analysis 1) and additional specific protection to nearby refuge rows, at least refuge row 1.

SmartStax: FAW Per 35 Ears By ROW. Treatment "INSSTX" is the larval count in the SmartStax pure stand.



| Analysis of Variance | | | | | | | | |
|----------------------|--------|-----|---------|-------------|-----------|-----------|--|--|
| Source | DF | | Sum of | Mean Square | F Ratio | Prob > F | | |
| | | S | quares | | | | | |
| ROW | 4 | 70 | .26667 | 17.5667 | 4.0229 | 0.0446* | | |
| REP | 2 | 36 | 3.40000 | 18.2000 | 4.1679 | 0.0575 | | |
| Error | 8 | 34 | .93333 | 4.3667 | | | | |
| C. Total | 14 | 141 | .60000 | | | | | |
| | | | | | | | | |
| Means for Onew | ay Ano | va | | | | | | |
| Level | Numb | er | Mean | Std Error | Lower 95% | Upper 95% | | |
| INSSTX | | 3 | 0.66667 | 1.2065 | -2.115 | 3.4488 | | |
| REFUGE1 | | 3 | 1.33333 | 1.2065 | -1.449 | 4.1154 | | |
| REFUGE12 | | 3 | 5.66667 | 1.2065 | 2.885 | 8.4488 | | |
| REFUGE2 | | 3 | 4.66667 | 1.2065 | 1.885 | 7.4488 | | |
| REFUGE4 | | 3 | 5.66667 | 1.2065 | 2.885 | 8.4488 | | |
| | | | | | | | | |

Replication Means REP Mean Number 1 2.60000 5 2 5.80000 5

2.40000

Mean Separation (t-test)

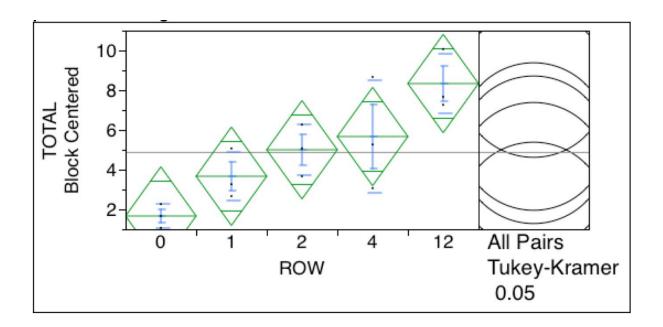
3

| Level | | | | Mean |
|----------|---|---|---|-----------|
| REFUGE12 | Α | | | 5.666667 |
| REFUGE4 | Α | | | 5.6666667 |
| REFUGE2 | Α | В | | 4.6666667 |
| REFUGE1 | | В | С | 1.3333333 |
| INSSTX | | | С | 0.6666667 |

Levels not connected by same letter are significantly different.

5

FAW Analysis 2B: Number of FAW larvae recovered on 6 September (early dent stage) in the solid SSTX Bt block planting and adjacent refuge rows 1, 2, 4 and 12. Each mean is composed of 10 naturally infested ears per row x 3 replications = 30 ears.



| Analy | CIC | \sim t 1 | • | ariai | \sim |
|-------|--------|------------|---|-------|--------|
| Analy | V.51.5 | ()) | v | allal | 11.1 |
| | | | | | |

| Source | DF | Sum of Squares | Mean Square | F Ratio | Prob > F |
|----------|----|----------------|-------------|---------|----------|
| ROW | 4 | 73.06667 | 18.2667 | 5.2692 | 0.0223* |
| REP | 2 | 14.93333 | 7.4667 | 2.1538 | 0.1785 |
| Error | 8 | 27.73333 | 3.4667 | | |
| C. Total | 14 | 115.73333 | | | |

Block Means

| REP | Mean | Number |
|-----|---------|--------|
| 1 | 6.20000 | 5 |
| 2 | 4.60000 | 5 |
| 3 | 3.80000 | 5 |

Means and Std Deviations

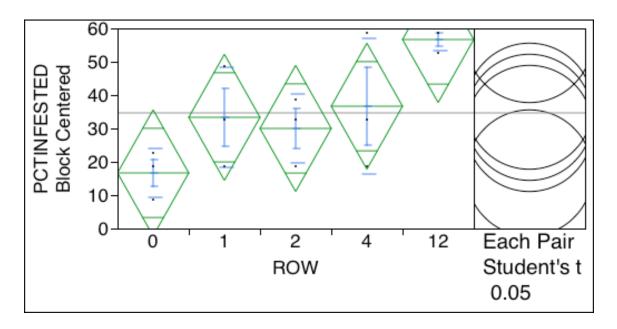
| ROW | Number | Mean | Std Dev | Std Err Mean | Lower 95% | Upper 95% |
|-----|--------|---------|---------|--------------|-----------|-----------|
| 0 | 3 | 1.66667 | 0.60000 | 0.3464 | 0.176 | 3.157 |
| 1 | 3 | 3.66667 | 1.24900 | 0.7211 | 0.564 | 6.769 |
| 2 | 3 | 5.00000 | 1.30128 | 0.7513 | 1.767 | 8.233 |
| 4 | 3 | 5.66667 | 2.82135 | 1.6289 | -1.342 | 12.675 |
| 12 | 3 | 8.33333 | 1.51438 | 0.8743 | 4.571 | 12.095 |

Mean separation, t-test

| Level | | | Mean |
|-------|---|---|-----------|
| 12 | Α | | 8.3333333 |
| 4 | Α | В | 5.666667 |
| 2 | Α | В | 5.0000000 |
| 1 | Α | В | 3.666667 |
| 0 | | В | 1.666667 |

Levels not connected by same letter are significantly different.

FAW Analysis 2C: Mean proportion of ears infested with FAW larvae on 6 September (early dent stage) in the solid SSTX Bt block planting and adjacent refuge rows 1, 2, 4 and 12. Each mean is composed of 10 naturally infested ears per row x 3 replications = 30 ears.



Analysis of Variance for arcsine of the square root transformation of proportion of ears infested per row.

| Source | DF | Sum of Squares | Mean Square | F Ratio | Prob > F |
|----------|----|-----------------------|-------------|---------|----------|
| ROW | 4 | 0.30718482 | 0.076796 | 3.0432 | 0.0844 |
| REP | 2 | 0.13578927 | 0.067895 | 2.6904 | 0.1278 |
| Error | 8 | 0.20188339 | 0.025235 | | |
| C. Total | 14 | 0.64485748 | | | |

Block Means

| REP | Mean | Number |
|-----|---------|--------|
| 1 | 46.0000 | 5 |
| 2 | 32.0000 | 5 |
| 3 | 26.0000 | 5 |

Means and Std Deviations

| Level | Number | Mean | Std Dev | Std Err Mean | Lower 95% | Upper 95% |
|-------|--------|---------|---------|--------------|-----------|-----------|
| 0 | 3 | 16.6667 | 7.2111 | 4.163 | -1.25 | 34.580 |
| 1 | 3 | 33.3333 | 15.0111 | 8.667 | -3.96 | 70.623 |
| 2 | 3 | 30.0000 | 10.2632 | 5.925 | 4.50 | 55.495 |
| 4 | 3 | 36.6667 | 20.2978 | 11.719 | -13.76 | 87.089 |
| 12 | 3 | 56.6667 | 3.4641 | 2.000 | 48.06 | 65.272 |

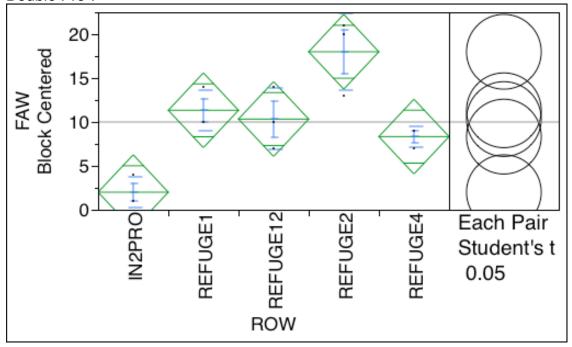
Row mean separations, t-test. Separations shown are for proportion of infested ears as transformed by the arcsine of the square root transformation.

| Row | | | Mean |
|-----|---|---|-----------|
| 12 | Α | | 56.666667 |
| 4 | Α | В | 36.666667 |
| 1 | Α | В | 33.333333 |
| 2 | Α | В | 30.000000 |
| 0 | | В | 16.666667 |

Levels not connected by same letter are significantly different.

FAW Analysis 3: Number of FAW larvae recovered on 19 - 20 August (dough stage) in the solid DOUBLEPRO Bt block planting and adjacent refuge rows 1, 2, 4 and 12. Each mean is composed of 35 ears per row x 3 replications = 105 ears. Finding: Double Pro solid Bt blocks provide no protection for any of the adjacent rows. The high number of insects in refuge row 2 reflects a hotspot in one replication where 23 FAW larvae were found in 35 ears.

DOUBLE PRO FAW Per 35 Ears by Row. IN2PRO indicates "in the pure block of Double Pro".



| Analysis of ' | Variance |
|---------------|----------|
|---------------|----------|

| Source | DF | Sum of Squares | Mean Square | F Ratio | Prob > F |
|---------|----|----------------|-------------|---------|----------|
| ROW | 4 | 398.00000 | 99.5000 | 9.7073 | 0.0037* |
| REP | 2 | 120.00000 | 60.0000 | 5.8537 | 0.0272* |
| Error | 8 | 82.00000 | 10.2500 | | |
| C Total | 14 | 600 00000 | | | |

Block Means

| REP | Mean | Number |
|-----|---------|--------|
| 1 | 12.0000 | 5 |
| 2 | 6.0000 | 5 |
| 3 | 12.0000 | 5 |

Means and Std Deviations

| Level | Number | Mean | Std Dev | Std Err Mean | Lower 95% | Upper 95% |
|----------|--------|---------|---------|--------------|-----------|-----------|
| IN2PRO | 3 | 2.0000 | 1.73205 | 1.0000 | -2.303 | 6.303 |
| REFUGE1 | 3 | 11.3333 | 2.30940 | 1.3333 | 5.596 | 17.070 |
| REFUGE12 | 3 | 10.3333 | 3.51188 | 2.0276 | 1.609 | 19.057 |
| REFUGE2 | 3 | 18.0000 | 4.35890 | 2.5166 | 7.172 | 28.828 |
| REFUGE4 | 3 | 8.3333 | 1.15470 | 0.6667 | 5.465 | 11.202 |

Mean Separation: t-test

| Level | | Mean |
|----------|---|-----------|
| REFUGE2 | Α | 18.000000 |
| REFUGE1 | В | 11.333333 |
| REFUGE12 | В | 10.333333 |
| REFUGE4 | В | 8.333333 |
| IN2PRO | С | 2.000000 |

Levels not connected by same letter are significantly different.

PART III. Assay of qualitative toxin expression in kernels

Pollen Assay 1: Toxins expressing in bulk ground kernels from the top 1/3 of an ear

Five ears per plot from both SmartStax and Double Pro plots were removed from the field at early dent stage and stored for two weeks in a warehouse. Kernels were removed from the top 1/3 of an ear and ground in bulk in a hand blender. The ground fines for each individual ear were subjected to quick strip assays. The strips could detect Cry34, Cry1F, Cry3Bb1 and Cry2Ab2. This was done individually for 5 ears per plot. There were 3 replications.

The test strips, while not quantitative, showed either strong lines with strong coloration or weak lines that were lightly colored. We recorded the type of line for each positive reading and divided these into "strong expression" or "weak expression" categories.

Pollen Table 1. Toxin expression detected in bulk ground kernels in a SmartStax RIB block and refuge rows 1, 2, 4 and 12. Percentage values are of those ears that expressed all of the SmartStax toxins. Five ears per plot x 3 replications. These data are for complete SSTX toxin suite expression only. Incomplete suite expression is presented in Table 2.

| Location | N | No. | Strong | Weak | Pct. strong | Pct. weak |
|----------|----|-------------|------------|------------|-------------|------------|
| | | expressing | SSTX | SSTX | expression | expression |
| | | SSTX (Pct. | expression | expression | | |
| | | expressing) | | | | |
| In SSTX | 15 | 15 (100) | 15 | 0 | 100 | 0 |
| Row 1 | 15 | 12 (80) | 9 | 3 | 75 | 25 |
| Row 2 | 15 | 14 (93) | 11 | 3 | 79 | 21 |
| Row 4 | 15 | 10 (67) | 4 | 6 | 40 | 60 |
| Row 12 | 15 | 8 | 0 | 8 | 0 | 100 |

Pollen Table 2. Lepidoptera toxins detected in ears that did not express all toxins in SmartStax in a SmartStax RIB block and refuge rows 1, 2, 4 and 12.

| | | | | | , , |
|----------|----|------------|------------|------------|------------|
| Location | Ν | No. not | No. | No. | Pct. |
| | | expressing | expressing | expressing | expressing |
| | | SSTX | Cry1F | Cry2Ab2 | Cry2Ab2 |
| In SSTX | 15 | 0 | 0 | 0 | 0 |
| Row 1 | 15 | 3 | 0 | 2 | 13.3 |
| Row 2 | 15 | 1 | 0 | 0 | 0 |
| Row 4 | 15 | 5 | 0 | 1 | 6.7 |
| Row 12 | 15 | 7 | 0 | 1 | 6.7 |

Pollen Table 3. Cry2Ab2 toxin expression detected in bulk ground kernels in a Double Pro block and refuge rows 1, 2, 4 and 12. Five ears per plot x 3 replications.

| | | | | Cry2Ab2 expression | | | ງ |
|---------|----|------------|------------|--------------------|------|--------|------|
| | | | | | | - | |
| Locatio | N | No. | Pct. | No. | No. | Pct. | Pct. |
| n | | expressing | expressing | Strong | Weak | strong | weak |
| | | Cry2Ab2 | Cry2Ab2 | | | | |
| In 2Pro | 15 | 15 | 100 | 15 | 0 | 100 | 0 |
| Row 1 | 15 | 15 | 100 | 7 | 8 | 46.7 | 53.5 |
| Row 2 | 15 | 10 | 66.7 | 5 | 5 | 50 | 50 |
| Row 4 | 15 | 7 | 46.7 | 2 | 5 | 28.6 | 71.4 |
| Row 12 | 15 | 10 | 66.7 | 6 | 4 | 60 | 40 |

Pollen Assay 2: Toxins expressing in individual kernels near the ear tip.

We removed 10 individual kernels from as close as possible to the ear tip of each of 4 ears in refuge row 2 of the 3 SmartStax replications. (This assay was not done for the Double Pro blocks.) There was often some tip damage and associated fungi so we chose the intact kernels closest to the ear tip. Each kernel was assayed with quick strips that detect Cry34, Cry1F, Cry3Bb1 and Cry2Ab2.

Pollen Table 4A. Individual toxin expression in tip kernels in SmartStax refuge row 2. Data include kernels that were positive for all SSTX toxins and those that expressed only a subset of toxins. **See table 5 for explanation of pyramids vs. single toxins detected.**

| | | | Lepidopte No. of k | | Percent of kernels positive | | positive ections |
|-----|--------------------|---------|-----------------------|----------|-----------------------------|-------|---------------------|
| Rep | No. | No. | Negative | Positive | for Lepidoptera | Cry1F | Cry2Ab2 |
| | ears | kernels | for | for | toxins | | |
| | | | toxins | toxins | | | |
| 1 | 4 | 40 | 28 | 12 | 30.0 | 7 | 9 |
| 2 | 4 | 40 | 31 | 9 | 22.5 | 3 | 6 |
| 3 | 4 | 40 | 23 | 17 | 42.5 | 9 | 9 |
| | ige row s / 120 | | 82 | 38 | 31.6 | 19 | 24 |

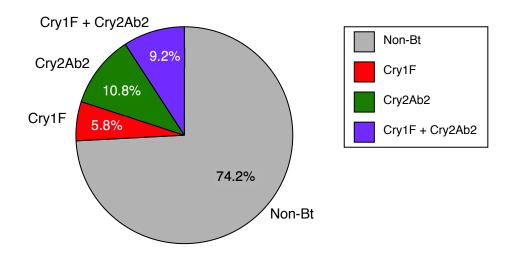
Pollen Table 4B. These are the same data as in Table 4 but with the rootworm toxins presented as well. See table 5 for explanation of pyramids vs. single toxins detected.

| | | | No. of kernels | | No. o | f kernels | positive for | a toxin |
|-----|------|---------|----------------|----------|-------|-----------|--------------|---------|
| Rep | No. | No. | Negative | Positive | Cry34 | Cry1F | Cry3Bb1 | Cry2Ab2 |
| | ears | kernels | for | for | | - | - | - |
| | | | toxins | toxins | | | | |
| 1 | 4 | 40 | 17 | 23 | 5 | 7 | 5 | 9 |
| 2 | 4 | 40 | 32 | 8 | 3 | 3 | 4 | 6 |
| 3 | 4 | 40 | 24 | 16 | 5 | 9 | 9 | 9 |

Pollen Table 5. Expression of single Lepidoptera toxins and a Lepidoptera pyramids in refuge row 2 kernels that contained at least one Lepidoptera toxin. **This table** addresses toxins that are apparently segregating as well as those that are not.

| Rep | No. of kernels | No. with only | No. with only | No. with both |
|-----------------|----------------|---------------|---------------|---------------|
| | tested | Cry1F | Cry2Ab2 + | Cry1F and |
| | | | Cry1A.105 | Cry2Ab2 |
| 1 | 40 | 3 | 5 | 4 |
| 2 | 40 | 1 | 4 | 2 |
| 3 | 40 | 3 | 4 | 5 |
| Pct. of all 120 | | 5.8 | 10.8 | 9.2 |
| | kernels | | | |

Percentage of tip kernels in Refuge Row 2 with various Bt toxin profiles



10 tip kernels / ear x 4 ears per plot x 3 replications = 120 kernels